



Form FSP 5

REPRESENTATIVES

FSP Name FSP No Name of Person Identity / Passport/
Registration Number **A. Type of person:***Natural Person

To distinguish natural persons from legal persons, humans are referred to as being natural persons.

*Legal Person

A legal person is recognised as a separate legal entity apart from its members and natural persons, who form part of it. The legal person can act through its members only, the result of such action being that only the legal person acquires rights and incurs duties and not its members in their personal capacity, e.g. company, close corporation trust. A legal person's employees (including persons appointed as key individuals) that render financial services must be appointed as representatives of the FSP.

B. Information required from a natural personTitle Initials First Name Surname Date of birth **C. Information required from a legal person**1. Name 2. Country of Registration

3. Details of Legal Person's key individual

Title

3

Initials First Name Surname



ID/Passport Number

Date of birth

Date of appointment

D. Information required from both natural and legal persons

Physical Address

Postal Code

Date of appointment

E. Subcategories appointed to render financial services

Indicate in Column C whether the representative renders financial services under supervision.

	Category and subcategory	A. Advice	B. Intermediary Services	C. Services under Supervision
1	CATEGORY I	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.1	Long-term Insurance: subcategory A	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.2	Short-term Insurance Personal Lines	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.3	Long-term Insurance subcategory B1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.4	Long-term Insurance subcategory C	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.5	Retail Pension Benefits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.6	Short-term Insurance Commercial Lines	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.7	Pension Fund Benefits (excluding retail pension benefits)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.8	Securities and Instruments: Shares	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.9	Securities and Instruments: Money market instruments	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.10	Securities and Instruments: Debentures and securitised debt	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.11	Securities and Instruments: Warrants, certificates and other instruments acknowledging, conferring or creating rights to subscribe to, acquire, dispose of, or convert securities and instruments referred to in subcategories 1.8, .1.9 and 1.10 above.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.12	Securities and Instruments: Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.13	Securities and Instruments: Derivative instruments excluding warrants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.14	Participatory Interests in Collective Investment Schemes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.15	Forex Investment Business	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.16	Health Service Benefits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.17	Long-term Deposit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.18	Short-term Deposits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.19	Friendly Society Benefits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.20	Long-term Insurance subcategory B2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If you have selected subcategory 1.16, Health Service Benefits, provide the legal person's and natural person's accreditation number allocated to the applicant by the Council for Medical Schemes in the block below.



2	CATEGORY II – DISCRETIONARY FSP	Discretionary	Services under supervision
2.1	Long-term Insurance subcategory B1	<input type="checkbox"/>	<input type="checkbox"/>
2.2	Long-term Insurance subcategory C	<input type="checkbox"/>	<input type="checkbox"/>
2.3	Retail Pension Benefits	<input type="checkbox"/>	<input type="checkbox"/>
2.4	Pension Fund Benefits (excluding retail pension benefits)	<input type="checkbox"/>	<input type="checkbox"/>
2.5	Securities and Instruments: Shares	<input type="checkbox"/>	<input type="checkbox"/>
2.6	Securities and Instruments: Money market instruments	<input type="checkbox"/>	<input type="checkbox"/>
2.7	Securities and Instruments: Debentures and securitised debt	<input type="checkbox"/>	<input type="checkbox"/>
2.8	Securities and Instruments: Warrants, certificates and other instruments acknowledging, conferring or creating rights to subscribe to, acquire, dispose of, or convert securities and instruments referred to in subcategories 2.5, 2.6 and 2.7 above.	<input type="checkbox"/>	<input type="checkbox"/>
2.9	Securities and Instruments: Bonds	<input type="checkbox"/>	<input type="checkbox"/>
2.10	Securities and Instruments: Derivative instruments excluding warrants	<input type="checkbox"/>	<input type="checkbox"/>
2.11	Participatory Interests in Collective Investment Schemes	<input type="checkbox"/>	<input type="checkbox"/>
2.12	Forex Investment Business	<input type="checkbox"/>	<input type="checkbox"/>
2.13	Long-term Deposits	<input type="checkbox"/>	<input type="checkbox"/>
2.14	Short-term Deposits	<input type="checkbox"/>	<input type="checkbox"/>
2.15	Long-term Insurance subcategory B2	<input type="checkbox"/>	<input type="checkbox"/>

		Discretionary	Services under supervision
2A	CATEGORY IIA – HEDGE FUND FSP	<input type="checkbox"/>	<input type="checkbox"/>

3	CATEGORY III – ADMINISTRATIVE FSP	Administrative	Services under supervision
3.1	Long-term Insurance subcategory B1	<input type="checkbox"/>	<input type="checkbox"/>
3.2	Long-term Insurance subcategory C	<input type="checkbox"/>	<input type="checkbox"/>
3.3	Retail Pension Benefits	<input type="checkbox"/>	<input type="checkbox"/>
3.4	Pension Fund Benefits (excluding retail pension benefits)	<input type="checkbox"/>	<input type="checkbox"/>
3.5	Securities and Instruments: Shares	<input type="checkbox"/>	<input type="checkbox"/>
3.6	Securities and Instruments: Money market instruments	<input type="checkbox"/>	<input type="checkbox"/>
3.7	Securities and Instruments: Debentures and securitised debt	<input type="checkbox"/>	<input type="checkbox"/>
3.8	Securities and Instruments: Warrants, certificates and other instruments acknowledging, conferring or creating rights to subscribe to, acquire, dispose of, or convert securities and instruments referred to in subcategories 3.5, 3.6 and 3.7 above	<input type="checkbox"/>	<input type="checkbox"/>
3.9	Securities and Instruments: Bonds	<input type="checkbox"/>	<input type="checkbox"/>
3.10	Securities and Instruments: Derivative instruments excluding warrants	<input type="checkbox"/>	<input type="checkbox"/>
3.11	Participatory Interests in Collective Investment Schemes	<input type="checkbox"/>	<input type="checkbox"/>
3.12	Forex Investment Business	<input type="checkbox"/>	<input type="checkbox"/>
3.13	Long-term Deposits	<input type="checkbox"/>	<input type="checkbox"/>
3.14	Short-term Deposits	<input type="checkbox"/>	<input type="checkbox"/>
3.15	Long-term Insurance subcategory B2	<input type="checkbox"/>	<input type="checkbox"/>

		Assistance Business	Services under supervision
4	CATEGORY IV – ASSISTANCE BUSINESS FSP	<input type="checkbox"/>	<input type="checkbox"/>



F. Highest relevant Qualification

Institution that issued the qualification	Qualification code	Qualification	Year obtained

G. Regulatory Examinations

Regulatory Examination completed	Examination Code	Date obtained