



CLEARING FIRM OR FOREIGN FOREX SERVICES PROVIDERS
(Information required from FSPs involved in forex investment business in terms of Chapter VI of the Financial Advisory and Intermediary Services Regulations, 2003.

FSP Name

FSP No

Explanatory Notes: In terms of Chapter VI of the Financial Advisory and Intermediary Services Regulations, 2003 (Government Notice 879/2003), a FSP who conducts forex investment business may only appoint a clearing firm or a foreign forex services provider, if such clearing firm or foreign forex services provider is approved by the Registrar.

Instructions:
 Please complete a separate form for each clearing firm of foreign forex services provider applying for approval.

1.1 Clearing Firm

1.2 Foreign Forex Services Provider

Name of entity

Country of registration

Registration number

Business address

Postal code

Postal address

Postal code

Telephone number

Fax number

Contact person or compliance officer

E-mail address

Is the clearing firm or foreign forex services provider required to be authorised for the rendering of forex investment business in the country in which it's operating from?

Yes
 No

If yes, provide the name of foreign regulator/s